

ITEM NO: 10

DECISION-MAKER:	AUDIT COMMITTEE		
SUBJECT:	AUDIT COMMISSION: AUDIT AND INSPECTION PLAN PROGRESS REPORT		
DATE OF DECISION:	24 TH June 2009		
REPORT OF:	CHIEF INTERNAL AUDITOR (Acting)		
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STATEMENT OF CONFIDENTIALITY

NOT APPLICABLE

SUMMARY

The 2008-09 Audit and Inspection Plan was presented to the Audit Committee 27th March 2008.

The 2009-10 Audit and Inspection plans were presented earlier on this agenda (please see Audit Commission: Audit and Inspection Fee 2009/10). The audit and inspection fee is based on the Audit Commission's risk-based approach to audit planning as set out in the Code of Audit Practice and on the risk-based approach to inspection planning as set out in the Comprehensive Area Assessment (CAA) Framework

An update on progress against the Plan(s), together with reports issued, is attached as appendices to this report.

RECOMMENDATIONS:

- (i) That the Audit Committee notes the Audit Commissions reports as attached.

REASONS FOR REPORT RECOMMENDATIONS

1. The Audit Committee's Terms of Reference require it to be satisfied and provide assurance to the Standards and Governance Committee that appropriate action is being taken on risk and internal control related issues identified by the external auditors. Specifically, the Committee has responsibility for oversight of the reports of external audit.

CONSULTATION

2. The reports, as attached, have been discussed and agreed with the appropriate officers.

ALTERNATIVE OPTIONS CONSIDERED AND REJECTED

3. None

DETAIL

4. The following Audit Commission report is attached for consideration in the appendix:

- Progress Report – June 2009

The external auditor will be in attendance at the Committee meeting to answer any questions.

FINANCIAL/RESOURCE IMPLICATIONS

Capital

None

Revenue

None

Property

None

Other

None

LEGAL IMPLICATIONS

Statutory power to undertake proposals in the report:

The duties and powers of auditors appointed by the Audit Commission are set out in the Local Government Act 1999.

Other Legal Implications:

POLICY FRAMEWORK IMPLICATIONS

SUPPORTING DOCUMENTATION

Appendices

1.	Audit Commission: Progress report – June 2009
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Documents In Members' Rooms

1.	
2.	

Background Documents

Title of Background Paper(s)

Relevant Paragraph of the
Access to Information
Procedure Rules / Schedule
12A allowing document to be
Exempt/Confidential (if
applicable)

1.		
2.		

Background documents available for inspection at: Internal Audit Office, North Block
Basement, Civic Centre

E-mail: Neil.pitman@southampton.gov.uk

FORWARD PLAN No:

KEY DECISION?

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WARDS/COMMUNITIES AFFECTED:
